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UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: **H546.12-0001** | Date: **May 22, 2000**

First Named Inventor: **Cheryl Henry**

Express Mail No.: **EL176998142US**

EL176998142US

APPLICATION ELEMENTS

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D.C. 20231

1. **[X]** Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. **[X]** Specification Total Pages **[12]**
   - Descriptive title of the invention
   - Cross References to Related Applications
   - Statement Regarding Fed. Sponsored R&D
   - Reference to Microfiche Appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (if filed)
   - Detailed Description
   - Claims
   - Abstract of the Disclosure
3. **[X]** Drawings (35 U.S.C. 113) Total Sheets **[3]**
4. **[X]** Oath or Declaration Total Pages **[2]**
   a. **[X]** Newly Executed (original or copy)
   b. **[]** Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)
   [Mark Box 5 below]
   I. **[]** DELETION OF INVENTOR(S)
   Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)
5. **[]** Incorporation by Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein
6. **[]** Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(*If applicable, all necessary*)
   a. **[]** Computer Readable Copy
   b. **[]** Paper Copy (identical to Computer Copy)
   c. **[]** Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

8. **[]** Assignment Papers (cover sheet & document(s))
9. **[]** 37 C.F.R. 3.73(b) Submission
[X] Power of Attorney
10. **[]** English Translation Document (*if applicable*)
11. **[X]** Information Disclosure Statement with Copies of Citations as necessary
12. **[]** Preliminary Amendment Total Pages **[]**
13. **[X]** Return Receipt Postcard (*Should be specifically itemized*)
14. **[X]** Small Entity Statement(s)
[] Statement filed in Prior Application. Status still proper and desired
15. **[]** Certified Copy of Priority document(s)
(*If foreign priority is claimed*)
16. **[X]** File Data Sheet
17. **[]** Other

18. **If a CONTINUING APPLICATION**, *check appropriate box and supply the requisite information:*
[] Continuation **[]** Division **[]** Continuation-in-part (CIP) of prior Application No.

19. **CORRESPONDENCE ADDRESS**

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STATEMENT OF SMALL ENTITY STATUS (INDEPENDENT INVENTOR)

Attorney Docket No.

H546.12-0001

First Named Inventor : Cheryl Henry

Title : DATA STORAGE DEVICE READER AND METHOD OF USING SAME

With respect to the invention described in:

X the application filed herewith:

_ Application No. _____, filed _____:

_ Patent No. _____, issued _____:

I. STATEMENT OF QUALIFICATION AS A SMALL ENTITY

I am a below named independent inventor and I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees under 35 U.S.C. 41(a) and (b).

II. STATEMENT OF OWNERSHIP

Rights under contract or law remain with me. If the rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person who could not be classified as (1) an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, (2) a small business concern under 37 C.F.R. 1.9(d) or (3) a non-profit organization under 37 C.F.R. 1.9(e).

X There is no such person, concern or organization.

_ The person(s), concern(s) or organization(s) is listed below:

FULL NAME _____
ADDRESS _____
CITY, STATE, ZIP _____

[] Individual
[] Small Business Concern
[] Non-Profit Organization

III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change resulting in loss of entitlement to small entity status pursuant to 37 C.F.R. 1.28(b).

IV. SIGNATURES

Signature: _____ Date: 5/11/00
Cheryl Henry

DATA STORAGE DEVICE READER AND METHOD OF USING SAME

BACKGROUND OF THE INVENTION

The present invention generally relates to data storage devices. In particular, the present invention relates to an apparatus for ascertaining file directory information contained on the data storage devices.

Data storage devices, which include but are not limited to floppy diskettes and recordable compact disks, are used to store information derived from a personal computer. Data storage devices make it possible to save data generated at one time to be retrieved at a later time for use on that personal computer or a different personal computer. Additionally, data storage devices allow the same data to be shared by multiple users by serving as a medium to relay information, archive information or serve as a medium for storing additional copies of the data. It is not uncommon for an individual user to have many of these data storage devices.

Each data storage device may contain one or many data files. The names of the files stored on the data storage devices are easily deleted or replaced. The ease of deleting and replacing file names, coupled with the fact that the devices can be shared among several users, may lead to confusion as to what each individual data storage device contains.

Conventionally, labels detailing the file contents of the data storage device can be affixed to the data storage devices. Problems arise, however, when the labels are not created initially or not updated every time a new file is added or deleted, or a file name is changed from the data storage device. This problem is compounded when the numbers of these storage devices in a home or office increase. The problem is further compounded when there are multiple users. The users are unable to visually determine the file directory contents of the individual data storage devices. Ultimately, the data on the data storage devices becomes useless to potential users since it is not readily apparent what is on the data storage device.

Therefore, to determine the file contents of the data storage device, the user would have to first gain access to a personal computer, insert the data

storage device into the personal computer, and run a software program to determine which files are contained on the data storage device. This task is inconvenient and time consuming when there are numerous data storage devices that are unlabeled or mislabeled, especially if the user cannot gain access to a personal computer. Additionally, if the personal computer is in use, for example, with a software application running, the running of the software must be interrupted to find out what is stored on the storage device.

U.S. Patent No. 5,745,102 discloses an apparatus for viewing file information contained on a specific floppy diskette. The apparatus is mechanically attached to the diskette, and requires a specially designed disk drive and additional computer hardware to receive the diskette and download information to the apparatus and requires an interface with a personal computer.

BRIEF SUMMARY OF THE INVENTION

The present invention is a portable apparatus for ascertaining file directory information contained on separately portable self contained data storage devices, such as floppy diskettes and recordable compact disks. The portable apparatus is of a size and weight that is capable of being held in a person's hands. The data storage device is loaded into the portable apparatus which activates a drive component that reads the data storage device. Upon reading the file directory contained on the device, the directory is viewable on a display located on the portable apparatus. The viewable information includes, but is not limited to, file names, file extensions, file sizes, date of last modification of each file, and remaining capacity of the storage device. The apparatus optionally includes a printer that is capable of printing the file directory information onto a printout, such as a label. After printing, the label can then be attached to the data storage device for future reference. The portable apparatus does not require an interface with a personal computer

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective view of a preferred embodiment of the present invention.

Figure 2 is a diagrammatical view of a method of the printing labels using the present invention along with a perspective view of a printer.

Figure 3 is a perspective view of an alternative embodiment of the present invention which includes a printer functionality within the same housing as the data storage device reading capability.

Figure 4 is a rear perspective view of the embodiment of Figure 3 showing labels exiting from the housing of the present invention.

DETAILED DESCRIPTION

The present invention includes a file reading apparatus generally indicated at 10 in Figure 1. Like references will be used to indicate like elements throughout the drawings. The apparatus 10 is used for ascertaining file directory information from various portable self-contained data storage devices 12. By reading or ascertaining is meant the acquisition or interpretation of data from the self-contained data storage device, specifically file name and other identification data that identifies to a user at least the subject matter of the data located on the self-contained storage device, for purposes of only viewing and printing such data with no capability of writing, modifying or otherwise manipulating the data except for viewing and printing. By self-contained is meant that once data is stored within the data storage device, no external power or maintenance is needed to maintain or retain the data on the data storage device. By portable with reference to the data storage device is meant that the data storage device includes its own discrete housing for protecting the storage medium and includes its own mechanism for presenting the storage medium for reading by a device or apparatus such as the apparatus 10. Such data storage devices 12 by example include, but are not limited to floppy diskettes and compact disks.

The file reading apparatus 10 is hand-held and portable which allows users to conveniently determine file information contained on data storage devices 12. The file reading apparatus can be carried as easily as the data storage devices thereby facilitating the usefulness of the filing reading apparatus 10. It is emphasized that the file reading apparatus 10 is not a personal computer nor is the file reading apparatus 10 in communication with a personal computer or other type of computer. One of the primary attributes of the filing reading apparatus 10 is its portability and that it is not a personal computer. The file reading apparatus 10 is useful for determining what files are on the data storage device 12.

By portability in relation to the file reading apparatus 10 is meant that the apparatus 10 is of a size and weight that is capable of being held in a person's hands. Preferably, the dimensions should be less than 6 inches in both height, width and depth. The entire device should weigh no more than 2 pounds so that it may be easily handled even by a child. The file reading apparatus is a tool that is movable from work location to work location or can be used in transit between locations.

In addition to its portability, the file reading apparatus 10 through the use of a printer 19 permits users to create accurate and current labels 18 to attach to the file data storage devices 12, as illustrated in Figure 2.

The file reading apparatus 10 includes a drive component 14 capable of reading the file information of the data storage device 12, a display screen 16 for the user to view the file information, as illustrated in Figure 1.

The housing 20 defines an exterior of the file reading apparatus 10. In a preferred embodiment, the drive component 14 and a display 16 are contained within the housing 20 as illustrated in Figure 1. The printer may also be contained within the housing 20, as illustrated in Figures 3 and 4, or the printer 19 may be a printer that is electronically attachable to the housing 20 such as printer 19 in Figure 2.

The file reading apparatus 10 of the present invention may be embodied in several alternatives with respect to the drive component 14. A first embodiment contains a drive component 14 capable of reading a data storage device such as a diskette 12 illustrated in Figure 2.. A second alternative embodiment includes the drive component as capable of reading a data storage device such as an optical data storage device such as a compact disk, as specifically illustrated in Figure 1. A third alternative embodiment includes a plurality of drive components 14 with at least one drive component capable of reading a diskette and another drive component capable of reading a compact disk. The drive components 14 are typical drive components for reading diskettes and compact disks that are well known in the art. Each drive component 14 is a "read-only" drive and is capable of only reading the data stored on the data storage device and thus cannot alter any of the information contained on the data storage device 12.

In operation, the user positions the data storage device 12 into a loading mechanism 22. The loading mechanism includes a loading slot 24 located on a front end 26 of the housing 20. The loading slot 24 accommodates the data storage device 12. The loading mechanism 22 also secures a data storage device 12 by utilizing a "lock-in" feature which stabilizes the data storage device 12. The "lock-in" feature is characterized by a mechanical function that positions the data storage device 12 with respect to the drive component 14 such that the drive component 14 is capable of reading the data storage device 12. Once the data storage device 12 is securely positioned proximate the drive component 14, the file reading apparatus 10 automatically begins executing the read function.

Alternatively, the loading mechanism 22 includes a tray 28 capable of holding a compact disk or the compact disk can be slid into position. As is well known in the art, the tray 28 is mounted on a mechanical mechanism which loads the compact disk into the drive component 14. The compact disk is positioned onto the tray 28 and an open/close switch (not shown) is activated. The open/close

switch (not shown) enables an electric motor to control a set of gears to draw the tray 28 into the loading mechanism 22. Once drawn within the loading mechanism 22, the compact disk is positioned so that the drive component 14 can read the information contained on the compact disk. Alternatively, the compact disk is slid into the housing through a slot and positioned in relation to the drive component 14 so that the data can be read on the compact disk. Once the information is obtained, the open/close switch is activated to cause the tray 28 to withdraw from the loading mechanism 22, or to expel the compact disk through the loading slot 24, allowing the compact disk to be removed from the housing 10.

In another alternative embodiment, the loading mechanism 22 includes a top-loading configuration (not shown) that is well known in the art. The top-loading configuration includes a hinged door (not shown) which, when opened exposes the drive component 14. The data storage device 12 is placed within the reading apparatus 10 such that the drive component 14 is capable of reading the data storage device 12. The drive component 14 is engaged when the hinged door is positioned into a closed position. To remove the data storage device 12, the user depresses a latch (not shown) which allows the hinged door to open, providing access to the data storage device 12.

The file reading apparatus 10 further includes a processing chip (not shown) containing the appropriate software compatible with reading various types of file applications which may be contained on the data storage device 12. Such software is well known in the art. The processing chip reads the file information obtained from the data storage device 12, and communicates the file information to the display screen 16. By file information is meant to include but not limited to file names contained on the data storage device 12, along with sizes of the displayed data files, extensions applied to the file names depicting the type of software application needed to use a file, and the amount of data space used or available on a data storage device 12.

The display screen 16 is of a sufficient size to view at least one file name contained on the data storage device 12. In the event that a file name contains an excessive number of characters or the data storage device 12 contains an excessive number of file names, the viewable information is manipulated by a scroll function which is also well known in the art. The scroll function allows the viewable information to be manipulated in a horizontal or a vertical direction. The scroll function is operated by a plurality of switches 30 located on the housing 10, preferably proximate the display screen 16. Alternatively, a multi-functional/directional toggle switch (not shown) may be used instead.

Preferably, the display screen 16 is positioned on a major surface 32 of the housing 20. However, the display screen 16 may be positioned on any other surface of the housing 20 that provides sufficient space. Preferably, the display screen 16 is a liquid-crystal display (LCD), however other types of display screens, such as a light emitting display or an active matrix display are within the scope of the present invention. Also included within the present invention are display control switches 36 which are used to manipulate the brightness or contrast of the display screen 16. The display control switches 36 are preferably located proximate the display screen 16 on the surface 32 of the housing 20.

The processing chip (not shown) contained within the housing 20 is programmed with the necessary processing information to execute a print function command, and send the command to the printer, as diagrammatically illustrated in Figure 2. Such commands will allow the printer to generate labels 18 containing the file information pertaining to the storage device being read. The printing function includes receiving a print data command from the file reader apparatus 10, processing the data, and printing the information onto the label 18.

The print function is activated by a print label switch 46, as illustrated in Figure 1. By manipulating the print label switch 46, the print function command is activated and printing occurs as described previously. Preferably, the

print label switch 46 is located on the housing 20 in a conveniently accessible location.

At the onset of receiving the print command from the processing chip, the printer proceeds to actively engage printing material 37. Preferably, the printing material is in roll form and includes detachable adhesive labels 18 which may be affixed to the data storage device 12 through a pressure sensitive adhesive. The roll of labels 37 is attachable to the printer 19 through a roll label holder 42. The labels 18 are fed into the printer through a label entrance slot (not shown) within the printer housing 43. When printing is completed, the label exits the printer 19 through a label slot 44. The label 18 is then removed from its backing, and secured to the data storage device 12 through the use of pressure sensitive adhesive.

Referring to Figures 3 and 4, an alternative embodiment 50 of the present invention is illustrated. The embodiment 50 on a front face 52 thereof includes a loading mechanism 22 having a slot 24 for receiving a data storage device 12. A power on/off button 54 and a print label button 46 are disposed on the housing 51 of the apparatus 50. A display 16 is positioned on a top surface along with a plurality of switches 30 to control a scroll function.

The apparatus 50 also includes a printer disposed within the housing 51 as evidenced by a label exist slot 44 as best illustrated in Figure 4. The file reading apparatus 50 is powered by dry cell batteries, although, provisions may be made for powering through the use of an electric cord and appropriate transformer that may be plugged into a standard wall socket (not shown). The batteries are enclosed within a compartment 48 located within the housing 51 and positioned for easy accessibility to replace the batteries. Power supplied through an electric cord would override power supplied by the batteries through appropriate circuitry that is well known in the art. In the event that power from household current is not available, the batteries would power the file reading apparatus 50. Furthermore, it

would be within the scope of the invention to include rechargeable batteries, the batteries being rechargeable through the use of current supplied by an electric cord and an appropriate transformer.

Although the present invention has been described with reference to preferred embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention.

CLAIM(S):

1. A portable apparatus for reading file information stored on a separately portable self contained data storage device, the portable apparatus not in communication with a personal computer, the apparatus comprising:

a housing of a size and weight capable of being held in a person's hand;

a drive component for reading the file information on the data storage device;

a loading mechanism for receiving the data storage device and retaining the data storage device such that the drive component reads the file information on command; and

a visual display operably connected to the drive component for viewing the file information contained on the data storage device.

2. The apparatus of claim 1 and further comprising a printer capable of printing the file information retrieved from the data storage device by the drive component.

3. The apparatus of claim 1 wherein the visual display is a liquid crystal display.

4. The apparatus of claim 1 wherein the drive component is capable of reading magnetic storage media.

5. The apparatus of claim 1 wherein the drive component is capable of reading optical storage media.

6. A portable apparatus for reading a file directory content of a separately portable data storage device, the portable apparatus not in communication with a personal computer, the apparatus comprising:

a housing of a size and weight capable of being held in a person's hands;

a drive component disposed within the housing to read the data storage device;

a display disposed on the housing and connected to the drive component for viewing the file directory contents; and

a printer operably connected to the drive component and capable of printing the file directory contents contained on the display.

7. The portable apparatus of claim 6 wherein the printer is located within the housing.

8. The portable apparatus of claim 6 wherein the printer is an attachable unit.

9. The portable apparatus of claim 6 and further comprising an additional drive component, each drive component capable of reading different types of data storage media.

10. A method of reading file information contained on a portable data storage device, the method comprising:

inserting the data storage device into a loading mechanism of a portable file reader apparatus that is a size and weight capable of being held in a person's hand; and

viewing the file information contained on the data storage device through a display screen.

11. The method of claim 10 and further comprising:

activating a print function that enables a printer to print a printout of the file information; and

attaching the printout to the data storage device.

12. The method of claim 11 wherein the printout is an adhesive label.

DATA STORAGE DEVICE READER AND METHOD OF USING SAME

ABSTRACT OF THE DISCLOSURE

File directory information contained on a portable self contained data storage device is read by a portable file reading apparatus that is not connected to nor is a personal computer. The file reading apparatus includes a housing of a size and weight capable of being held within the person's hands. A drive component that reads the portable data storage device is contained within the housing. A display disposed on the housing provides the file directory content of the portable storage data device for viewing.

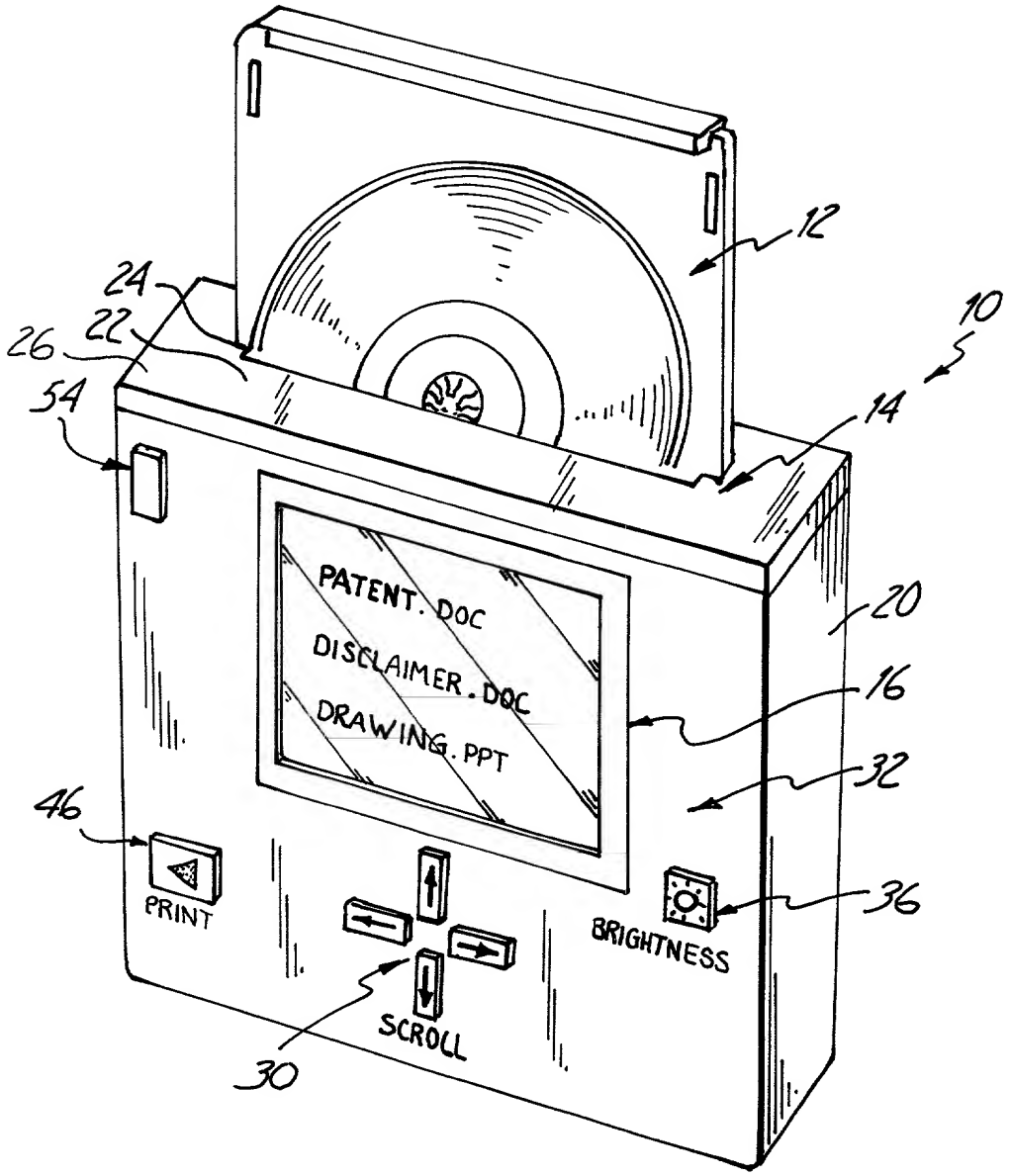


Fig. 1

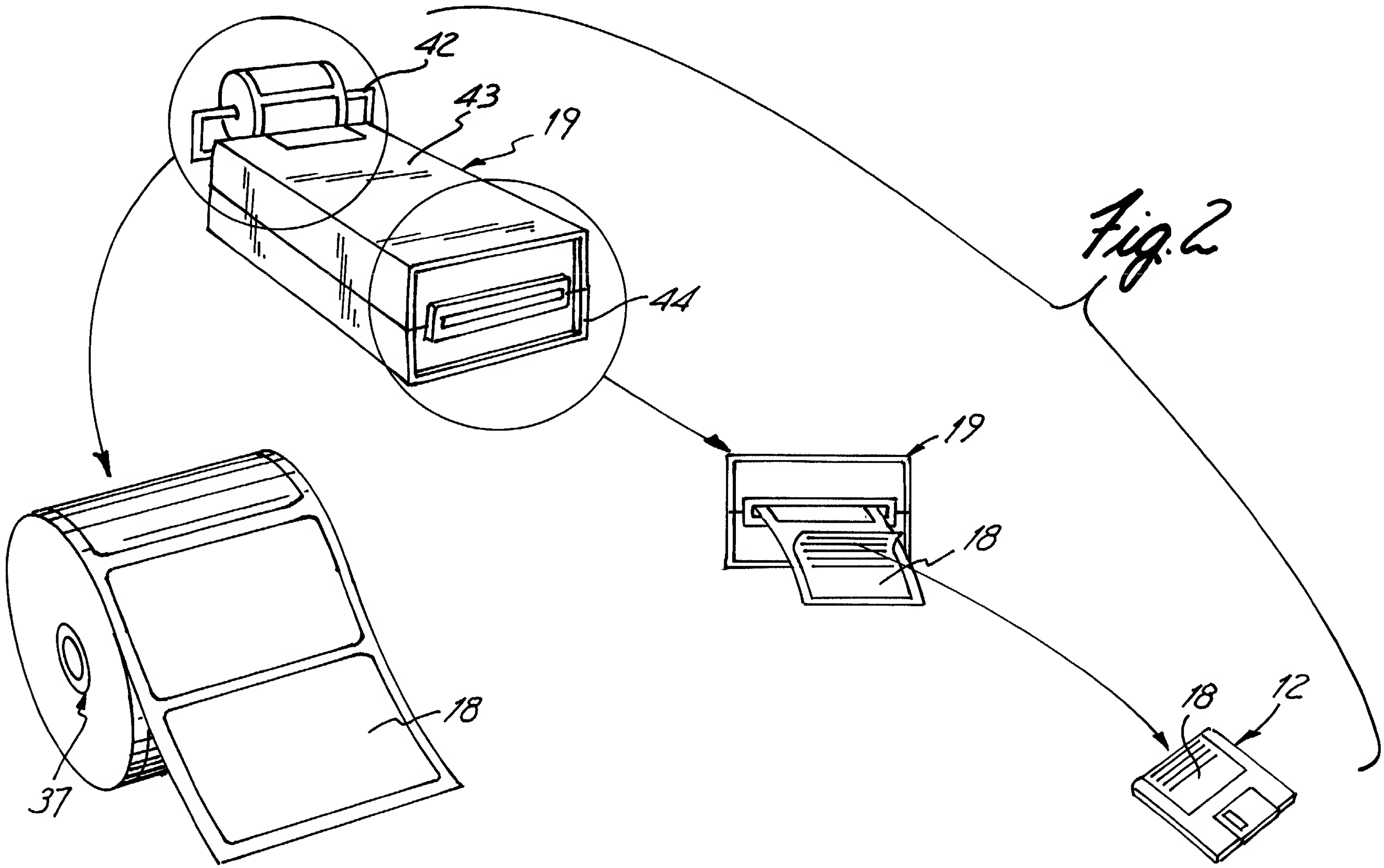
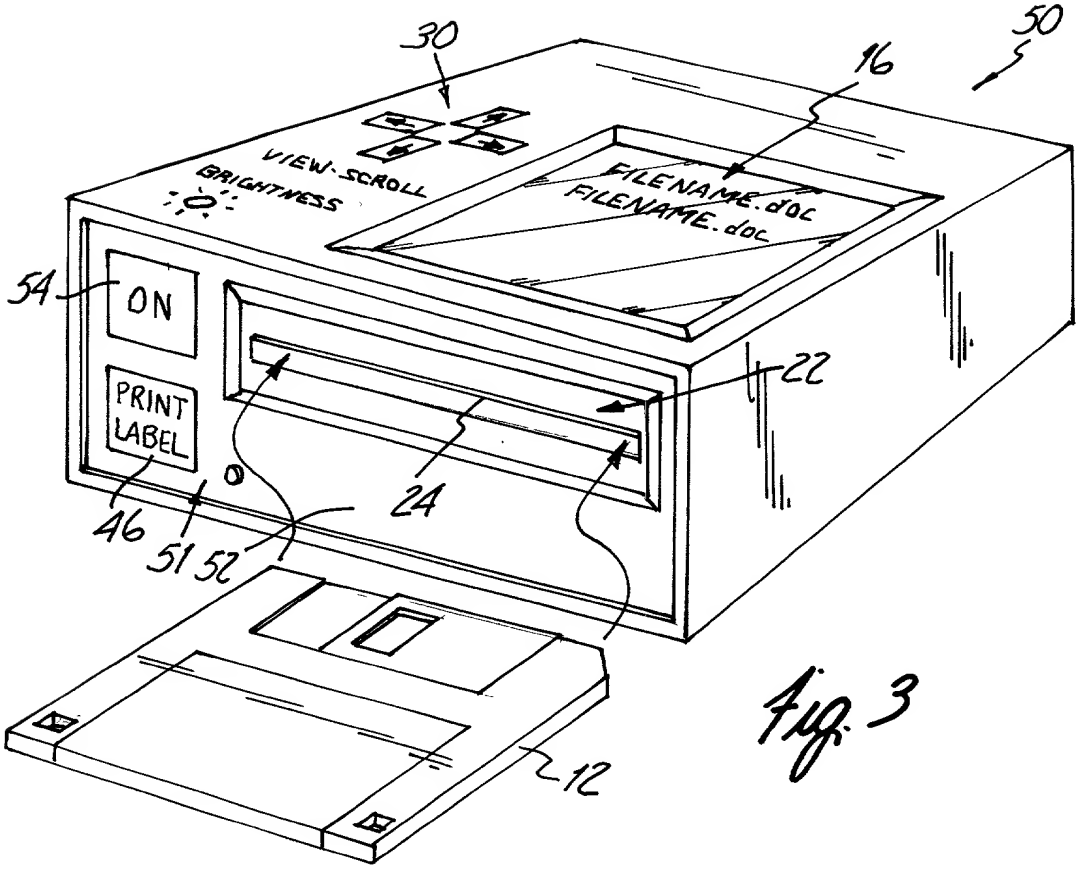


Fig. 2


30
16
50
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54
ON
PRINT LABEL
22
24
46
51
52
12

Fig. 3

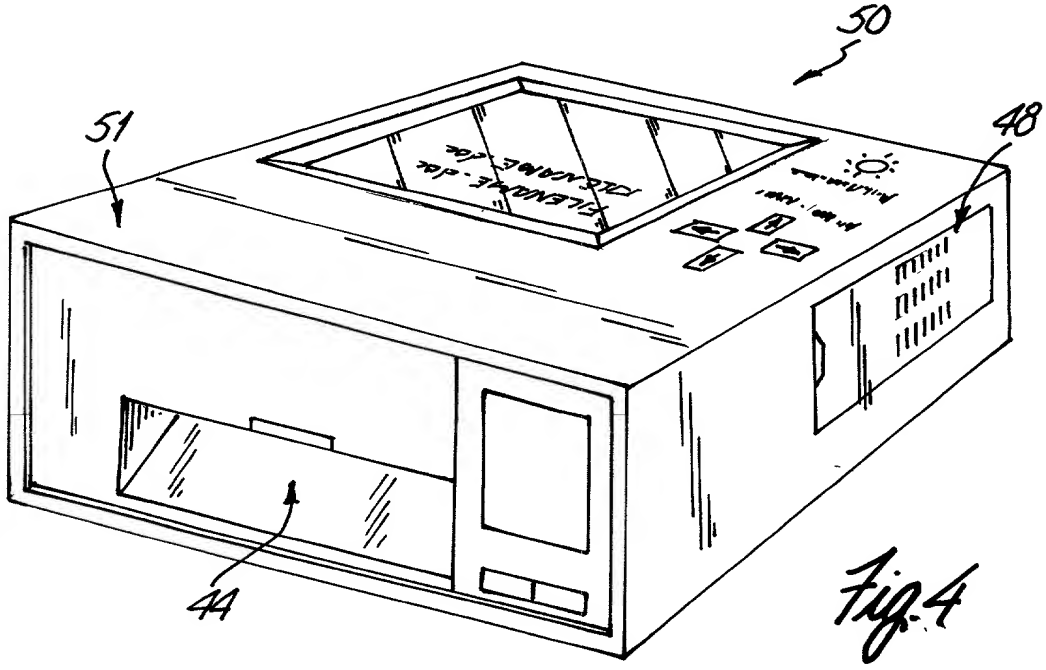
50
51
48
44

Fig. 4

DECLARATION FOR UTILITY PATENT APPLICATION (37 C.F.R. 1.63)		Attorney Docket No.	H546.12-0001
		First Named Inventor	Cheryl Henry
		COMPLETE IF KNOWN	
X Declaration Submitted with Initial Filing	Declaration Submitted after Initial Filing (Surcharge (37 C.F.R. 1.16(e)) Required)	Application Number	
		Filing Date	
		Group Art Unit	
		Examiner Name	

As a below named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:
DATA STORAGE DEVICE READER AND METHOD OF USING SAME

the specification of which:

X is attached hereto OR

was filed on as United States Application Number or PCT International Application Number and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Certified Copy Attached? Yes No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (*if applicable*)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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POWER OF ATTORNEY

Attorney Docket No.

H546.12-0001

First Named Inventor : Cheryl Henry

Title : DATA STORAGE DEVICE READER AND METHOD OF USING SAME

In the patent application:

X identified above (and submitted to the Patent and Trademark Office herewith).

_ filed on _____ as Application No._____ .

I appoint the attorneys and agents associated with Customer Number 00164 to prosecute the patent application identified above and to transact all business in the Patent and Trademark Office connected therewith, including full power of association, substitution and revocation.

I ratify all prior actions taken by Kinney & Lange, P.A. or the attorneys and agents mentioned above in connection with the prosecution of the above-mentioned patent application.

I authorize Kinney & Lange, P.A. to mark the appropriate space above and to insert the filing date and application number of the application, as appropriate.

Please address all correspondence and telephone calls to Z. Peter Sawicki at:

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Signature: _____ Dated: 5/11/00

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